

FACTS

WHAT DOES R.R. Gordon Advisors DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Social Security number and income • Account balances and payment history • Credit history and credit scores
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons R.R. Gordon Advisors chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does R.R. Gordon Advisors share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	YES	NO
For joint marketing with other financial companies	YES	NO
For our affiliates' everyday business purposes— information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes— information about your creditworthiness	YES	NO
For our affiliates to market to you	NO	YES
For nonaffiliates to market to you	NO	NO

To limit our sharing	<p>Call 772-291-2137—our menu will prompt you through your choice(s) Visit us online: www.rrgordonadvisors.com and/or Mail the form below</p> <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information [30] days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
Questions?	Call 772-291-2137 or go to www.rrgordonadvisors.com

Mail-in Form

<p>Leave Blank OR If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.</p> <p><input type="radio"/> Apply my choices only to me</p>	<p>Mark any/all you want to limit:</p> <p><input type="radio"/> Do not share information about my creditworthiness with your affiliates for their everyday business purposes.</p> <p><input type="radio"/> Do not allow your affiliates to use my personal information to market to me.</p> <p><input type="radio"/> Do not share my personal information with nonaffiliates to market their products and services to me.</p>	<p>Mail to: R.R. Gordon Advisors 1110 Brickell Ave Suite 430 Miami, FL 33131</p>								
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="background-color: #cccccc; width: 20%;">Name</td> <td style="width: 80%;"></td> </tr> <tr> <td style="background-color: #cccccc;">Address</td> <td></td> </tr> <tr> <td style="background-color: #cccccc;">City, State, Zip</td> <td></td> </tr> <tr> <td style="background-color: #cccccc;">Account #</td> <td></td> </tr> </table>	Name		Address		City, State, Zip		Account #		
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Who we are	
Who is providing this notice?	R.R. Gordon Advisors
What we do	
How does R.R. Gordon Advisors protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does R.R. Gordon Advisors collect my personal information?	We collect your personal information, for example, when you: <ul style="list-style-type: none"> A. Open an account or deposit money B. Pay your bills or apply for a loan C. Pay fees/invoices using your credit or debit card We also collect your personal information from other companies. OR We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> A. Sharing for affiliates' everyday business purposes—information about your creditworthiness B. Affiliates from using your information to market to you C. Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. [See below for more on your rights under state law.]
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account. OR Your choices will apply to everyone on your account—unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. Interactive Brokers
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Interactive Brokers
Other important information	